

# Oligomorphic groups and tensor categories

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# Logistical information

## Joint work

The core ideas in this talk are joint with Nate Harman.

## Other joint work appearing

with Coulembier, Etingof, Nekrasov, Snyder, Zelingher.

## Slides on my website

<https://websites.umich.edu/~asnowden/icm-slides.pdf>

## §1. Background

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# Representation categories

## Goal

Understand the representation theory of an algebraic group  $G$ .

## One approach

Study the category  $\text{Rep}(G)$ .

## Problem

The abelian category  $\text{Rep}(G)$  carries little information.

## Solution

Treat  $\text{Rep}(G)$  as a tensor category.

# Tensor categories

## Definition

A **pre-Tannakian category** is a  $k$ -linear abelian category  $\mathcal{C}$  with a  $k$ -bilinear symmetric monoidal structure  $\otimes$  such that:

- (a) All objects have finite length.
- (b) Every object admits a dual.
- (c)  $\text{End}(\mathbf{1}) = k$ , where  $\mathbf{1}$  = monoidal unit.

The axioms imply all Hom spaces are finite dimensional.

## Remark

- The definition abstracts the key properties of  $\text{Rep}(G)$ .
- $\exists$  other kinds of tensor categories (e.g., braided).

# Motivation

## Question

Why pre-Tannakian categories?

## Answers

- (a) Tannakian reconstruction: recover  $G$  from  $\text{Rep}(G)$ .
- (b) Motives: a pre-Tannakian category not of the form  $\text{Rep}(G)$ .
- (c) Rep. thy. of algebraic groups very fertile  $\implies$  this natural generalization might contain interesting new math.

# The main problem

## Main problem

Understand pre-Tannakian categories.

## Sub-problems

- Construct new examples, i.e., not of the form  $\text{Rep}(G)$ .
- Explain new examples: if  $\mathcal{C}$  does not come from an algebraic group, does it come from a more general group-like object?
- Classify (subclasses of) pre-Tannakian categories.

# Recognition theorems

## Question

When is a pre-Tannakian category  $\mathcal{C}$  of the form  $\text{Rep}(G)$ ?

## Theorem (Tannakian reconstruction, 1972, 1982)

*If  $\mathcal{C}$  admits a **fiber functor**, i.e., a faithful exact  $\otimes$ -functor to  $\text{Vec}$ , then  $\mathcal{C}$  is equivalent to  $\text{Rep}(G)$  for some affine group scheme  $G$ .*

## Theorem (Deligne, 1990, 2002)

*Suppose  $k = \bar{k}$  has char. 0 and  $\mathcal{C}$  has **moderate growth**, i.e., for all objects  $X$  the function  $n \mapsto \text{len}(X^{\otimes n})$  has at most exponential growth. Then  $\mathcal{C}$  admits a (super) fiber functor.*

# Two branches

Due to Deligne's theorem, the field has bifurcated:

## First branch

Classify pre-Tannakian categories of moderate growth in char.  $p$ .  
 $\exists$  conjecture from Benson–Etingof–Ostrik (2023) and Coulembier (2021) based on higher Verlinde categories.

## Second branch

Study pre-Tannakian categories of super-exponential growth.

This talk focuses on the second branch.

# Trace and dimension

## Definition

Let  $f: X \rightarrow X$  be an endomorphism in a pre-Tannakian category. The **categorical trace** of  $f$ , denoted  $\underline{\text{tr}}(f)$ , is the composition

$$\mathbf{1} \xrightarrow{\text{cv}} X \otimes X^\vee \xrightarrow{f \otimes \text{id}} X \otimes X^\vee \xrightarrow{\text{ev}} \mathbf{1}.$$

It is an element of  $\text{End}(\mathbf{1}) = k$ .

## Definition

The **categorical dimension** of  $X$  is  $\underline{\dim} X = \underline{\text{tr}}(\text{id}_X)$ .

## Proposition

*Trace is additive in short exact sequences. In particular, if  $f$  is nilpotent then  $\underline{\text{tr}}(f) = 0$ .*

# Deligne interpolation

## Notation

- $S^\lambda =$  irreducible  $\mathbf{C}[\mathfrak{S}_n]$ -module (Specht module) for  $\lambda \vdash n$
- $\lambda[m] = (m - |\lambda|, \lambda_1, \lambda_2, \dots)$

## Deligne's category (2007)

Semi-simple pre-Tannakian category  $\underline{\text{Rep}}(\mathfrak{S}_t)$  for  $t \in \mathbf{C} \setminus \mathbf{N}$ .

- Simple objects are  $X_\lambda$  with  $\lambda$  a partition; intuition:  $X_\lambda = S^{\lambda[t]}$
- $X_\lambda \otimes X_\mu$  decomposes like  $S^{\lambda[n]} \otimes S^{\mu[n]}$  for  $n \gg 0$
- $\dim X_\lambda = p_\lambda(t)$  where  $p_\lambda(n) = \dim S^{\lambda[n]}$  for  $n \gg 0$

First\* example with super-exponential growth.

## Deligne interpolation (continued)

- Deligne:  $\underline{\text{Rep}}(\mathfrak{S}_t)$  is an “interpolation” of the  $\text{Rep}(\mathfrak{S}_n)$ .
- Deligne constructed  $\underline{\text{Rep}}(\mathfrak{S}_t)$  using the partition category.
- Harman constructed  $\underline{\text{Rep}}(\mathfrak{S}_t)$  as an ultraproduct of  $\text{Rep}(\mathfrak{S}_n)$ .
- $\underline{\text{Rep}}(\mathfrak{S}_t)$  exists for  $t \in \mathbf{N}$ , but not s.s. (Comes–Ostrik).
- Interpolation applies to other sequences of finite groups:
  - Finite classical groups  $\mathbf{GL}_n(\mathbf{F}_q)$ ,  $\mathbf{O}_n(\mathbf{F}_q)$ , etc.
  - Wreath products  $\mathfrak{S}_n \wr \Gamma$ , with  $\Gamma$  a fixed finite group.
  - $\mathfrak{S}_n \wr \mathfrak{S}_m$  with both indices varying (two parameters).
- Also applies to  $\mathbf{GL}_n$  (Deligne–Milne) and other classical groups
- Knop (2007) gave a general construction of pre-Tannakian categories. All known examples it produces can also be obtained from interpolation.

# Summary

## **Objects of interest**

Pre-Tannakian categories of super-exponential growth.

## **Known examples prior to 2022**

Interpolations of finite groups or classical (super)groups.

## **Known classification results prior to 2022**

None.

## §2. Oligomorphic tensor categories

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# Oligomorphic groups

## Definition

An **oligomorphic group** is a permutation group  $(G, \Omega)$  such that  $G$  has finitely many orbits on  $\Omega^n$  for all  $n \geq 0$ .

## Remark

Peter Cameron coined the term and wrote an excellent introductory book *Oligomorphic permutation groups* (1990).

## Examples of oligomorphic groups

- Any finite permutation group.
- The infinite symmetric group  $\mathfrak{S}$ .
- The infinite general linear group over a finite field.
- $\text{Aut}(\mathbf{Q}, <)$  or  $\text{Aut}(\mathbf{R}, <)$ .
- Choose a 2-coloring  $c: \mathbf{Q} \rightarrow [2]$  such that each color is dense. Then  $\text{Aut}(\mathbf{Q}, c, <)$  is oligomorphic (and independent of  $c$ ).
- Let  $X$  be a countable set and let  $<_1$  and  $<_2$  be two random total orders on  $X$ . Then  $\text{Aut}(X, <_1, <_2)$  is oligomorphic.
- Let  $X$  be the Rado graph (random countable graph). Then  $\text{Aut}(X)$  is oligomorphic.

### Remark

The random infinite structures appearing above are constructed rigorously using the Fraïssé limit.

## Definition

For an oligomorphic group  $(G, \Omega)$  we let  $\mathbf{S}(G)$  be the category of  $G$ -sets generated by  $\Omega$ : an object is a subquotient of a finite disjoint union of powers of  $\Omega$ . The term “ $G$ -set” will always mean “object of  $\mathbf{S}(G)$ .”

## Important observation

The category  $\mathbf{S}(G)$  is closed under finite products.

## Remark

$\mathbf{S}(G)$  only depends on the topological group  $G$ , which is why we suppress  $\Omega$  from the notation.

# Classical permutation modules

Let  $\text{Perm}(\Gamma)$  denote the category of permutation modules of the finite group  $\Gamma$  over the field  $k$ .

We can realize  $\text{Perm}(\Gamma)$  as a “linearization” of  $\mathbf{S}(\Gamma)$ :

- An object of  $\text{Perm}(\Gamma)$  is a formal symbol  $[X]$  with  $X \in \mathbf{S}(\Gamma)$ .
- A map  $[X] \rightarrow [Y]$  is a  $\Gamma$ -invariant function  $Y \times X \rightarrow k$ .
- Composition is matrix multiplication.
- $[X] \oplus [Y] = [X \amalg Y]$  and  $[X] \otimes [Y] = [X \times Y]$ .

## Key idea

Do the same with oligomorphic groups. Additional data is needed to define matrix multiplication.

# Measures

## Definition (Harman–S.)

A **measure** for the oligomorphic group  $G$  valued in a ring  $k$  is a rule  $\mu$  that assigns to each morphism  $f: Y \rightarrow X$  of transitive  $G$ -sets a value  $\mu(f) \in k$  such that:

- (a)  $\mu(\text{isom}) = 1$ .
- (b)  $\mu(g \circ f) = \mu(g) \cdot \mu(f)$ , when defined.
- (c) If  $f': Y' \rightarrow X'$  is the base change of  $f$  along  $X' \rightarrow X$ , with  $X'$  transitive, then  $\mu(f') = \mu(f)$ .
  - Here  $\mu(f') = \sum_{i=1}^n \mu(f'_i)$  where  $Y'_1, \dots, Y'_n$  are the orbits on  $Y'$  and  $f'_i$  is the restriction of  $f'$  to  $Y'_i$ .

## Remark

Intuition:  $\mu(f)$  measures the size of the fiber of  $f$ .

# Oligomorphic permutation modules

## Construction (Harman–S.)

Let  $G$  be an oligomorphic group with measure  $\mu$ . Define a symmetric monoidal category  $\underline{\text{Perm}}(G, \mu)$  as follows:

- An object is a formal symbol  $[X]$  with  $X \in \mathbf{S}(G)$ .
- A map  $[X] \rightarrow [Y]$  is a  $G$ -invariant function  $Y \times X \rightarrow k$ .
- Composition is matrix multiplication, defined using  $\mu$ .
- $[X] \oplus [Y] = [X \amalg Y]$  and  $[X] \otimes [Y] = [X \times Y]$ .

## Remark

- There is some work in showing that this is all well-defined.
- The category  $\underline{\text{Perm}}(G, \mu)$  is rigid, and every object is self-dual.
- It is not abelian, and thus not pre-Tannakian, in general.

## An example of composition

Define  $\mu(X)$  to be  $\mu(X \rightarrow \mathbb{1})$ , where  $\mathbb{1}$  is the one-point set.

### Proposition

*The composition  $\mathbf{1} \rightarrow [X] \rightarrow \mathbf{1}$  is  $\mu(X)$ .*

### Corollary

*We have  $\underline{\dim} [X] = \mu(X)$ .*

# Abelian envelopes

## Definition

Let  $\mathcal{C}$  be a  $k$ -linear symmetric monoidal category. An **abelian envelope** of  $\mathcal{C}$  is a faithful  $\otimes$ -functor  $\Phi: \mathcal{C} \rightarrow \mathcal{T}$ , with  $\mathcal{T}$  pre-Tannakian, that is universal:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\Phi} & \mathcal{T} \\ & \searrow \Phi' & \downarrow \exists! \Psi \\ & & \mathcal{T}' \end{array}$$

Given a faithful  $\otimes$ -functor  $\Phi'$ , with  $\mathcal{T}'$  pre-Tannakian, a faithful  $\otimes$ -functor  $\Psi$  exists such that the diagram commutes.

## Remark

Abelian envelopes need not exist, but are unique when they do.

# Pre-Tannakian categories from oligomorphic groups

## Definition

A field-valued measure  $\mu$  for an oligomorphic group is **regular** if  $\mu(X) \neq 0$  for all transitive  $G$ -sets  $X$ . It is **quasi-regular** if it restricts to a regular measure on some open subgroup.

## Theorem (Harman–S.)

*If  $\mu$  is quasi-regular and nilpotent endomorphisms in  $\underline{\text{Perm}}(G, \mu)$  have trace 0 then  $\underline{\text{Perm}}(G, \mu)$  admits an abelian envelope  $\underline{\text{Rep}}(G, \mu)$ . If  $\mu$  is regular then  $\underline{\text{Rep}}(G, \mu)$  is semi-simple.*

# Summary

## Main construction

An oligomorphic group  $G$  with a measure  $\mu$  gives a (non-abelian) rigid tensor category  $\underline{\text{Perm}}(G, \mu)$ .

## Abelian envelopes

Under certain assumptions ( $\mu$  quasi-regular and  $\text{tr}(\text{nilp}) = 0$ ), the category  $\underline{\text{Perm}}(G, \mu)$  admits an abelian envelope.

## §3. Examples

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# Deligne's category revisited

Let  $\mathfrak{S}$  be the infinite symmetric group acting on  $\Omega = \{1, 2, \dots\}$ .

## Theorem

- (a) *For  $t \in \mathbf{C}$ ,  $\exists!$   $\mathbf{C}$ -valued measure  $\mu_t$  with  $\mu_t(\Omega) = t$ .*
- (b) *Every  $\mathbf{C}$ -valued measure is one of the  $\mu_t$ .*
- (c)  *$\mu_t$  is regular for  $t \in \mathbf{C} \setminus \mathbf{N}$ , and quasi-regular for  $t \in \mathbf{N}$ .*
- (d) *Nilpotent endomorphisms in  $\underline{\text{Perm}}(\mathfrak{S}, \mu_t)$  have trace 0.*
- (e)  *$\underline{\text{Rep}}(\mathfrak{S}, \mu_t)$  is equivalent to Deligne's category  $\underline{\text{Rep}}(\mathfrak{S}_t)$ .*

## Remark

Similar story for interpolation categories of other sequences of finite groups, such as  $\mathbf{GL}_n(\mathbf{F}_q)$ .

# The Delannoy category

Let  $G = \text{Aut}(\mathbf{R}, <)$ .

Let  $\mathbf{R}^{(n)} \subset \mathbf{R}^n$  be the strictly ordered tuples. This is a transitive  $G$ -set, and these account for all the transitive  $G$ -sets.

## Proposition

*There is a measure  $\mu$  for  $G$  given by*

$$\mu(\mathbf{R}^{(n)} \rightarrow \mathbf{R}^{(m)}) = (-1)^{n+m}$$

*This measure is regular over any field.*

## Remark

We have  $\mu(f) = \chi_c(\text{fiber})$ , and standard properties of  $\chi_c$  translate to the measure axioms.

# The Delannoy category (continued)

## Theorem (Harman–S.)

*The abelian envelope  $\underline{\text{Rep}}(G, \mu)$  exists as a semi-simple pre-Tannakian category over any field.*

## Definition

This category is called the **Delannoy category**.

## Remark

- Remarkable that Delannoy is semi-simple in all characteristics.
- First example of a semi-simple pre-Tannakian category of super-exponential growth in positive characteristic.

## The Delannoy category (continued)

Other properties of the Delannoy category (Harman–S.–Snyder):

- Simple objects indexed by words in  $\{\bullet, \circ\}$ .
- Categorical dimension of the simple  $L_\lambda$  is  $(-1)^{\ell(\lambda)}$ .
- Combinatorial rule for  $L_\lambda \otimes L_\mu$ , closely related to shuffling.
- $\text{End}([\mathbf{R}^{(n)}])$  admits a combinatorial description related to Delannoy paths; hence the name of the category.
- The Adams operations on the Grothendieck group are trivial, i.e.,  $\psi^p = \text{id}$  for all  $p$ . Delannoy is essentially the only known pre-Tannakian category with this property.

## Additional examples

- Vector Delannoy category (Kriz, Deligne).
- Arboreal categories (Harman–Nekrasov–S.) coming from Fraïssé classes of trees. First 1-parameter family of pre-Tannakian categories not coming from interpolation.
- Jacobi categories (Harman–S.–Zelingher). Categorical dimensions are related to Gauss and Jacobi sums.
- Second Delannoy category (Coulembier–S.). First pre-Tannakian category from non-quasi-regular measure.

## §4. Further topics

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# Finding all measures

## Problem

*Given an oligomorphic group  $G$ , describe all measures.*

## Observation

There exists a universal measure valued in a ring  $\Theta(G)$ . To find all measures, it suffices to compute  $\Theta(G)$ .

# Finding all measures (continued)

## Theorem (Harman–S.)

$\Theta(G)$  is a binomial ring.

## Examples

- (a)  $\Theta(\mathfrak{S})$  is the ring of integer-valued polynomials (Harman–S.).
- (b) For  $G = \text{Aut}(\mathbf{R}, <)$ , we have  $\Theta(G) = \mathbf{Z}^4$  (Harman–S.).
- (c) Let  $X$  be a countable set with random total orders  $<_1$  and  $<_2$ , and let  $G = \text{Aut}(X, <_1, <_2)$ . Then  $\Theta(G) = \mathbf{Z}^{37}$  (S.).
- (d) Let  $G$  be as above, but with  $r$  random total orders. Then  $\Theta(G) = \mathbf{Z}^n$  for some  $n \lesssim \exp(\exp(r^2 \log r))$  (Nekrasov–S.).
- (e)  $\exists G$  with  $\Theta(G) = 0$  (Harman–Nekrasov).

# Discrete categories

## Observation

An algebraic group  $G$  is finite if and only if every object of  $\text{Rep}(G)$  is a quotient of an étale algebra.

## Definition (Harman–S.)

A pre-Tannakian category is **discrete** if every object is a quotient of an étale algebra.

## Remark

- Oligomorphic tensor categories are discrete.
- Pre-Tannakian categories are a generalization of algebraic groups. The discrete ones generalize finite groups.

## Discrete categories (continued)

### Theorem (Harman–S.)

*Let  $\mathcal{C}$  be a discrete pre-Tannakian category. Then  $\mathcal{C}$  is equivalent to  $\underline{\text{Rep}}(G, \mu)$  for some oligomorphic group  $G$  and measure  $\mu$ .*

### Remark

This is the first general classification result for pre-Tannakian categories of super-exponential growth.

# Linearly oligomorphic groups

- The interpolation category  $\underline{\text{Rep}}(\mathbf{GL}_t)$  is not discrete  $\implies$  it does not come from the oligomorphic theory.
- Idea:  $\underline{\text{Rep}}(\mathfrak{S}_t)$  comes from infinite symmetric group  $\mathfrak{S} \implies \underline{\text{Rep}}(\mathbf{GL}_t)$  should come from the infinite  $\mathbf{GL}$ .
- Idea:  $\mathfrak{S}$  is the prototype for oligomorphic groups  $\implies \mathbf{GL}$  should be the prototype for “linearly oligomorphic” groups.
- Hope: these will lead to more tensor categories.

# Linearly oligomorphic groups (continued)

## Definition (Harman–S.)

A linear group  $(G, V)$  is **linearly oligomorphic** if for every  $d$  there is a finite dimensional  $E \subset V$  such that any  $d$ -dimensional subspace of  $V$  can be moved into  $E$  using  $G$ .

- We constructed many interesting examples of these groups using a variant of Fraïssé theory.
- Open problem: find a construction

linearly oligomorphic group + ??  $\implies$  tensor category

- Potentially interesting connections to model theory, e.g., linear analog of Ryll-Nardzewski theorem.