# Deriving Semantics for Image Clustering from Accumulated User Feedbacks

Machine Vision & Pattern Recognition Lab.

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#### Introduction

Image clustering solely based on visual features without any knowledge or background information suffers from the problem of semantic gap. We propose SS-NMF: a Semi-Supervised Non-negative Matrix Factorization framework for image clustering. Accumulated relevance feedback in a content-based image retrieval (CBIR) system is treated as user provided supervision for guiding the image clustering. We show that supervision derived from the few images marked in the feedback logs can greatly enhance the image clustering results.

### NMF (Non-negative Matrix Factorization)

- 1. NMF was initially proposed for "parts-of-whole" decomposition, and later extended to a general framework for data clustering. It can model widely varying data distributions and can accomplish both hard and soft clustering simultaneously.
- 2. We perform symmetric non-negative tri-factorization of image-image similarity matrix  $A = X^T X \in \mathbb{R}^{n \times n}$  (where  $X \in \mathbb{R}^{m \times n}$  is feature-image matrix) to do image clustering:

$$A \approx GSG^T$$

**SS-NMF Algorithm Correctness and Convergence** 

where  $G \in \mathbb{R}^{n \times k}$  is the cluster indicator matrix,  $S \in \mathbb{R}^{k \times k}$  is the cluster centroid matrix that gives a compact kxk representation of X, with k being the number of clusters.

#### **Clustering with Accumulated User Feedbacks**

#### Relevance feedback logs (RF<sub>i</sub>):

- 1. Fi+: denotes the set of positive images marked in the feedback
- 2. Fi: denotes the set of negative images marked in the feedback

#### Define set of pairwise constraints:

- 1. Must-Link constraints  $C_{ML}$ : pair of images  $(i_i, i_j) \in C_{ML}$  indicating  $i_i$  and  $i_j$  must be clustered together iff  $(i_i, i_j) \in RF_h$ ,  $1 \le h \le k$  (total number of logs)
- 2. Cannot-Link constraints  $C_{Cl}$ : pair of images  $(i_i, i_j) \in C_{CL}$  indicating  $i_i$  and  $i_j$  must be clustered together iff one of the two images  $\in F_h^+$ , while the other  $\in F_h^-$ ,  $1 \le h \le k$  (total number of logs)

### **SS-NMF Clustering**

#### **Objective function of SS-NMF**

$$J_{SS-NMF} = \min_{S \ge 0, G \ge 0} \left\| \tilde{A} - GSG^{-T} \right\|^{2}$$
  
=  $\min_{S \ge 0, G \ge 0} \left\| (A - W_{reward} + W_{penalty}) - GSG^{-T} \right\|^{2}$ 

where A is affinity or similarity matrix A with constraints  $W_{remand} = \{ w_{ij} \mid (i_i, i_j) \in C_{ML}, s.t.y_i = y_j \}$  and  $W_{penalty} = \{ w_{ij} \mid (i_i, i_j) \in C_{CL}, s.t.y_i = y_j \}$ ,

wii is the penalty cost for violating a constraint between images ii and i., and vis the cluster label of i.

#### Updating rules

We propose an iterative procedure for the minimization of objective function where we update one factor while fixing the others:

$$S_{ih} \leftarrow S_{ih} \frac{\left(G^T \tilde{A} G\right)_{ih}}{\left(G^T G S G^T G\right)_{ih}} \qquad G_{ih} \leftarrow C$$

$$G_{ih} \leftarrow G_{ih} \frac{(\tilde{A}GS)_{ih}}{(GSG^TGS)_{ih}}$$

### Correctness Proof

1. Introduce the Lagrangian multipliers  $\lambda_1$  and  $\lambda_2$  to minimize the lagrangian function,

$$L(S, G, \lambda_1, \lambda_2) = \min_{S \in \mathcal{S}(G)} \left\| \widetilde{A} - GSG^T \right\|^2 - Tr(\lambda_1 S^T) - Tr(\lambda_2 G^T)$$

- 2. Based on Kullback-Leibler complementarily condition, we can compute the gradient descent of diss while fixing G. We can then successively update S which will converge to a local minima of the problem.
- 3. Similarly, given S, we can update G to make  $\frac{\partial J}{\partial G}$ monotonically decreasing which will converge to a local minima of the problem.
- 4. S and G should update alternatively.

#### **Convergence Proof**

- 1. Assuming L(S,S') is an auxiliary function of J(S) if  $L(S,S') \ge J(S)$  and L(S,S) = J(S), we minimize a lower bound, set  $S^{(t+1)} = \operatorname{arg\,min}_S L(S, S^{(t)})$ , then  $J(S^{(t)}) = L(S^{(t)}, S^{(t)}) \ge L(S^{(t+1)}, S^{(t)}) \ge J(S^{(t+1)})$ . Thus J(S) is monotonically decreasing and is bounded from up.
- 2. Similarly, assuming L(G,G') is an auxiliary function of J(G) if L(G,G) = J(G) and  $L(G,G') \ge J(G)$ , we minimize a lower bound, set  $G^{(t+1)} = \arg \min_{G} L(G, G^{(t)})$ , then  $J(S^{(t)}) = L(S^{(t)}, S^{(t)}) \ge L(S^{(t+1)}, S^{(t)}) \ge J(S^{(t+1)})$  . Thus J(G) is monotonically decreasing and is bounded from up.

## **Advantages of SS-NMF**

	SS-KK (Semi-Supervised Kernel K-means)	SS-SNC (Semi-Supervised Spectral Normalized Cuts)	SS-NMF (Semi-Supervised Non-negative Matrix Factorization)		
Clustering Indicator	Hard clustering     Exact orthogonal	•The derived latent semantic space to be orthogonal. •No direct relationship between the singular vectors and the clusters	Soft clustering  Map the images into non-negative latent semantic space which may not be orthogonal  Cluster label can be determined by the axis with the largest projection value		
Time Complexity	· · · · · · · · · · · · · · · · · · ·		-Efficient iterative algorithm -Simple based on basic matrix computation and easily deployed over a distributed computing environment when dealing with large image repositoriesObtain partial answer at intermediate stages of the solution by specifying a fixed number of iterations		

### **Experiment Datasets and Evaluation Methodology**

#### **Experiment setup**

- 1. Datasets: entire image database consists of 1,500 images with 300 images in each category, we randomly select 100 images from 5 categories which are Owls (O), Roses (R), Lions (L), Elephants (E) and Horses (H) to form different combinations of image
  - · Must-link: If both the images happen to belong to the same category in the ground truth, the constraint is assigned maximum weight in the image-image similarity matrix;
  - · Cannot-link: If both images belong to different categories, the minimum weight in the similarity matrix is used for the constraint.



Up Figure : Sample images from the image categories used

2. Evaluation accuracy metric:  $AC = \frac{\sum_{i=1}^{n} \delta(y_i, \hat{y}_i)}{\sum_{i=1}^{n} \delta(y_i, \hat{y}_i)}$ 

where  $\hat{y}_i$  is the estimated cluster label assigned to an image,  $y_i$  is ground truth label. and n denotes the total number of images in the experiment.  $\delta(y_i, \hat{y}_i)$  is the delta function that equals one if  $\hat{y}_i = y_i$  else

We perform comparison of three popular unsupervised image clustering methods: KK (Kernel K-means), SNC (Spectral Normalized Cuts), and NMF, with SS-NMF.

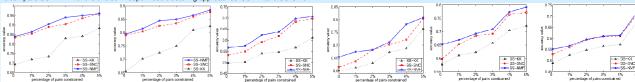
Right Table: Comparison of image clustering accuracy between KK, SNC, NMF and , SS-NMF with only 3% pairwise constraints on the images. It shows that SS-NMF consistently outperforms other well-established unsupervised image clustering methods.

	O- $R$	L- $H$	R- $L$	O- $R$ - $L$	O- $R$ - $L$ - $E$	O- $L$ - $E$ - $H$
KK	0.6933	0.6553	0.8600	0.6750	0.6012	0.5775
SNC	0.8300	0.7900	0.8750	0.7092	0.6150	0.5975
NMF	0.8400	0.7950	0.8950	0.7167	0.6550	0.6525
SS-NMF	0.9400	0.8500	0.9300	0.8833	0.7125	0.7095

#### Experiment 2:

Experiment 1:

We compare SS-NMF with the two semi-supervised clustering approaches: SS-KK and SS-SNC



**Experiment Results** 

From Left to Right Figures: Comparison of image clustering accuracy between SS-KK, SS-SNC, and SS-NMF for different percentages of images pairs constrained (O-R, L-H, L-E-H, O-R-L-E, O-L-E-H, and O-R-L-E-H). It shows that SS-NMF consistently outperforms other semi-supervised image clustering methods.