Is the universe inflating? Dark energy and the future of the universe

Dragan Huterer and Glenn D. Starkman Department of Physics, Case Western Reserve University, Cleveland, Ohio 44106

Mark Trodden

Department of Physics, Syracuse University, Syracuse, New York 13244-1130 (Received 14 February 2002; published 16 August 2002)

We consider the fate of the observable universe in the light of the discovery of a dark energy component to the cosmic energy budget. We extend results for a cosmological constant to a general dark energy component and examine the constraints on phenomena that may prevent the eternal acceleration of our patch of the universe. We find that the period of accelerated cosmic expansion has not lasted long enough for observations to confirm that we are undergoing inflation; such an observation will be possible when the dark energy density has risen to between 90% and 95% of the critical. The best we can do is make cosmological observations in order to verify the continued presence of dark energy to some high redshift. Having done that, the only possibility that could spoil the conclusion that we are inflating would be the existence of a disturbance (the surface of a true vacuum bubble, for example) that is moving toward us with sufficiently high velocity, but is too far away to be currently observable. Such a disturbance would have to move toward us with speed greater than about 0.8c in order to spoil the late-time inflation of our patch of the universe and yet avoid being detectable.

DOI: 10.1103/PhysRevD.66.043511 PACS number(s): 95.35.+d

I. INTRODUCTION

There is now considerable evidence that the universe is dominated by a peculiar energy component with negative pressure. This component, called dark energy, leads to the acceleration of the universe and explains why type Ia supernovae of intermediate redshift are observed to be dimmer than they would be in a matter-only universe [1,2]. Dark energy also obviates the apparent discrepancy between large-scale structure measurements, which indicate that matter comprises around 30% of the critical energy density, and cosmic microwave background measurements, which show that the total energy density is very nearly equal to critical. The energy density of this mysterious component, X, relative to the critical density is $\Omega_X \sim 0.7$ and the equation of state is $-1 \leq w \equiv p_X/\rho_X \leq -0.6$ [3,4].

This important discovery raises some interesting and fundamental issues. Of particular interest to us is the possibility that the universe may be entering a stage of inflation [5-7], similar to that thought to have occurred in the early universe. If this is the case, we would like to know when the universe started or will start to inflate, when we will be able to observe this inflation, and what observational constraints, if any, exist that could reveal, even in principle, whether the inflationary period will be prolonged or even eternal. To address these questions, we are motivated by the exciting prospects for constraining dark energy using cosmological probes. Type Ia supernovae (SNe Ia) have been the most effective and direct probes to date, and give strong evidence for the existence of the negative-pressure component [1,2]. Number counts of galaxies [8] and galaxy clusters [9] are also very promising techniques, which are sensitive to the growth of density perturbations. While weak gravitational lensing [10] and large-scale structure surveys [11] are mostly sensitive to the matter component, and the cosmic microwave background (CMB) primarily probes the total energy density, all three of these provide crucial complementary information; namely the fraction of the total energy density in matter Ω_M and the total energy density Ω_{TOT} (both in units of the critical density). With the proposed wide-field telescopes, such as the Large-Aperture Synoptic Survey Telescope (LSST)¹ on the ground, and the Supernova Acceleration Probe (SNAP)² in space, the next decade may offer an order-of-magnitude better constraints on the properties of dark energy.

To address the observability of the fate of the universe, Starkman, Trodden, and Vachaspati [12] (STV) have used the concept of the minimal antitrapped surface (MAS). The MAS is a sphere, centered on the observer, on which the velocity of comoving objects is the speed of light c. In a Friedmann-Robertson-Walker (FRW) cosmology, the radius of the MAS at any given conformal time η_e is the Hubble radius at that time $H(\eta_e)^{-1}$. For sources inside our MAS, photons emitted directly at us get nearer with time, while all photons emitted by sources outside the MAS are initially receding from us because of the superluminal recession of the source. If the MAS is expanding (in comoving terms), then the retreating photons will eventually stop retreating and reach the observer—the source will come into view. If the MAS is contracting, many of the photons will never reach us. The authors then argue that comoving contraction of the MAS can be identified with inflation.

The work of STV builds on earlier work of Vachaspati and Trodden [13], who have shown that in a FRW cosmology at conformal time η_e , the necessary and sufficient condition for the contraction of the MAS is that a region of size

¹www.dmtelescope.org

²snap.lbl.gov

 $H^{-1}(\eta_e)$, the radius of the MAS, be vacuum dominated. Assuming a flat universe with vacuum energy relative to critical of Ω_{Λ} =0.8, STV compute the redshift at which we can observe the MAS to be z_{MAS} \approx 1.8. Since the comoving radius of the MAS is equal to the comoving Hubble radius, the condition for the onset of inflation is particularly simple

$$\frac{dH_{\text{comov}}^{-1}}{dn} = 0. ag{1}$$

STV then conclude that in a flat universe the MAS is directly observable only if $\Omega_{\Lambda}{>}0.96$, and so, for the currently favored value of Ω_{Λ} , this is not possible.

Subsequently several other papers on essentially the same topic have appeared, and we briefly comment on them here. Avelino et al. [14] address the same problem as STV, but replace the criterion for inflation used by STV (i.e., the contraction of the MAS) by the condition that inflation arises when the energy-momentum tensor is vacuum-energy dominated out to a redshift $z=z_*$, where the distance to z_* is equal to the distance to the event horizon. With this definition, higher Ω_{Λ} implies smaller z_{*} , which the authors consider a more reasonable result than the one from STV (in which higher Ω_{Λ} implies higher z_{MAS}). Gudmundsson and Björnsson [15] introduce the concept of a Λ sphere, which they define as the surface within which the vacuum energy dominates and is located at the redshift of the onset of acceleration of the universe. In this work we shall retain the criterion for inflation used by STV, defined by contraction of the MAS. This definition is simple, mathematically precise and intuitively clear and corresponds with the fundamental causal notion of inflation—that in inflation objects are leaving apparent causal contact.

In this paper we extend the analysis of STV in several ways. First of all, in Sec. II we generalize all results from a pure cosmological constant to a general dark energy component described by its fractional density Ω_X and redshiftdependent equation of state ratio w(z). Indeed, although the vacuum energy considered in STV is in many ways the simplest dark-energy candidate, there are a number of other candidates, some of which have been thoroughly explored (e.g. quintessence [16,17], or k-essence [18,19]). These alternatives can have complex dynamics and lead to observationally distinct cosmic evolutions. In Sec. III we use a toy model to investigate in depth some possible scenarios. Finally, in Sec. IV we discuss in detail what cosmological observations can and cannot tell us about the fate of the universe. Throughout, we assume a flat universe as suggested by recent CMB anisotropy results [20–23]. The fiducial model we use is Ω_X $=1-\Omega_M=0.7$ and w(z)=-1, which corresponds to the current concordance model [24].

Finally, we make two additional assumptions. First, we assume the validity of the weak energy condition [that is, $w(z) \ge -1$], which is required in order to use the results of Vachaspati and Trodden regarding the MAS [13]. Second, we assume that the universe is homogeneous on the current horizon scales (i.e., on scale $\sim H_0^{-1}$). Besides being confirmed to a high accuracy by observations, the homogeneity assumption is crucial for our arguments; it follows that the

energy content of our patch of the universe is a function of time only [obtained through $\Omega_M(z)$ and $\Omega_X(z)$] and not space.

II. A GENERALIZED CONDITION FOR INFLATION

Let us see which dark energy models satisfy the condition (1) on the turnaround of the MAS, and at what redshift.

The energy density in the dark component evolves as

$$\rho_X(z) = \Omega_X \rho_{crit} \exp\left(3 \int_0^z (1 + w(z')) d \ln(1 + z')\right). \quad (2)$$

The condition for the turnaround of the MAS then simplifies to

$$\rho_M(z) + [1 + 3w(z)]\rho_X(z) = 0, \tag{3}$$

or, using the fact that $\Omega_X(z) \equiv \rho_X(z)/\rho_{crit}(z)$,

$$Q(z) \equiv 1 + 3w(z)\Omega_X(z) = 0. \tag{4}$$

Since $dH_{comov}^{-1}/d\eta = 0$ is equivalent to $\ddot{a}/a = 0$ in an FRW universe, this is precisely the same as the condition that the universe be accelerating. Here a(t) is the cosmic scale factor and a dot denotes a derivative with respect to physical time t.

Our observational knowledge of cosmic history puts certain constraints on Q(z) at large z. It is possible that $\Omega_X(z)$ is significant and w(z) negative at particular eras at high z. For example, during big bang nucleosynthesis $\Omega_X(z_{BBN}) \le 0.045$ is allowed [25], and $\Omega_X(z)$ may even be unity for short enough periods in a later era. However, there must have been an epoch when $\Omega_X(z)$ was less than 1/3 [the value we require from Eq. (4)], since otherwise the dark energy component would interfere with structure formation and big-bang nucleosynthesis. Thus it is clear that Q(z) > 0 at some large enough z. In addition, the fact that galaxies and other objects in the universe are visible tells us that the MAS was not contracting during the same epoch. It then follows that the condition for inflation is therefore Q(z) < 0, or

$$w(z) < -\frac{1}{3\Omega_X(z)}. (5)$$

In particular, for $\Omega_X = 0.7$ today, the criterion for inflation is w(0) < -0.48, which coincides with the more familiar condition for acceleration $(\ddot{a}/a > 0)$.

Of course, it is a significant experimental challenge to measure w(z) at a given z or, more generally, to isolate the equation of state ratio in a particular redshift window (for a more detailed discussion, see Refs. [26–28]). Abrupt variations in w(z) are particularly difficult to detect due to the integral effect of w(z) on the expansion rate; see Eq. (2). An example is given in Fig. 1. The equation of state ratio depicted here is negative at high z, causing the onset of inflation (which occurred at z=0.67 if w has always been w saturates the bound of Eq. (5). If the change in w is sufficiently abrupt, this change (and therefore the end of inflation) will be cosmologically unobservable.

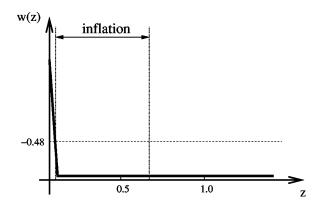


FIG. 1. Abrupt variations in w(z) may stop (or commence) inflation of our patch of the universe, yet be unobservable. An example shown here is w(z) that leads to inflation in the redshift interval shown, but then suddenly increases. Such an abrupt change in w would be cosmologically unobservable.

III. A TOY MODEL

Our goal is to investigate a class of dark energy models to understand in each case what observations may in principle tell us about the future evolution of our patch of the universe. For example, since current data indicate $\Omega_X \sim 0.7$ and $w \lesssim -0.8$ (see, for example, Ref. [29]), implying current inflation, we would like to understand how we might test the broad range of theoretical scenarios that are consistent with current observations but in which the MAS is *not* currently contracting.

We will adopt a four-parameter description of w(z) of the following form:

$$w(z) = \begin{cases} w_1, & 0 < z < z_1, \\ \frac{w_1(z_2 - z) - w_2(z_1 - z)}{z_2 - z_1}, & z_1 \le z \le z_2, \\ w_2, & z > z_2 \end{cases}$$
(6)

(see Fig. 2), so that the equation of state ratio takes different constant values at low and high redshifts, and interpolates linearly between these two regimes. This parametrization, although crude, mimics a wide class of dark energy models. Although in principle we have four adjustable parameters w_1, w_2, z_1 and z_2 , the results in the w_1 - w_2 plane are similar

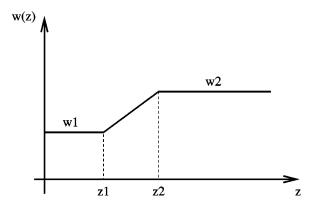


FIG. 2. The four-parameter ansatz for w(z) used in this analysis.

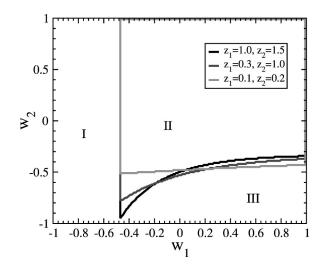


FIG. 3. The fate of the universe as a function of w_1 and w_2 in our toy model. The results are fairly insensitive to z_1 and z_2 , and are plotted for three pairs of these parameters. Region I: the universe is inflating now. Region II: the universe never inflated. Region III: the universe inflated, but then stopped inflating.

for various choices of z_1 and z_2 and so it is not necessary to run through the full parameter space.

As Fig. 3 shows, there are three interesting regions of parameter space.

Region I: Here $w_1 < -1/(3\Omega_X)$, and hence the universe is undergoing inflation today independent of the behavior of w(z) at higher z.

Region II: Here w_1 is too high for inflation to be occurring today, and w_2 is too high for inflation to have occurred in the past, cf. Eq. (5). Hence, our patch of the universe never underwent late-time inflation.

Region III: Here w_1 is too large for inflation to be occurring now, but w_2 is negative enough that the universe recently inflated but then stopped inflating.

Note also the z_1 and z_2 -dependent "dip" at w_1 just greater than -0.48. This feature can be explained simply. If $w_1 = -0.45$, say, then dark energy becomes subdominant to dark matter with increasing redshift, and it takes a very negative w_2 (close to -1) to achieve inflation in the past. However, if w_1 is more positive, +1.0 say, dark energy is not as subdominant at higher z (or perhaps is dominant), and it takes a less negative w_2 to achieve inflation in the past.

IV. OBSERVING THE CONTRACTION OF THE MAS?

Let us begin by generalizing the condition of STV [12] for the observability of the turnaround point of the MAS. The redshift of the turnaround z_c (where "c" stands for "contraction") is given by

$$Q(z_c) = 0, (7)$$

where Q(z) is given in Eq. (4) and $\rho_X(z)$ is given by Eq. (2). The condition for observing the turnaround point is given by

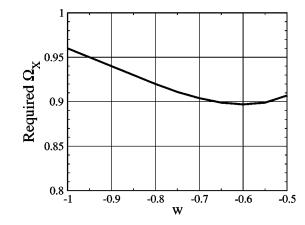


FIG. 4. The required minimum value of Ω_X in order for us to be able to observe the turnaround point of the MAS today. Shown as a function of (constant) w.

$$a_c \int_{\eta_c}^{\eta_c} d\eta = H^{-1}(\eta_c),$$
 (8)

which, when combined with Eq. (7) and for constant w, simplifies to

$$\int_{a_c}^{1} \frac{dx}{\sqrt{x^3 (x^{3w} - 1 - 3w)}} = \frac{1}{\sqrt{-3w}},\tag{9}$$

where a_c is the scale factor at turnaround (normalized to 1 today) and $x \equiv a_c/a$.

We can now compute which models allow the turnaround to be observable (that is, $a_c < 1$). Solving Eq. (9) numerically for a_c and combining with Eq. (7), we find that, to a good approximation, the constraint from STV is roughly independent of w; see Fig. 4. Therefore, $\Omega_X \gtrsim 0.9 - 0.95$ would be necessary to directly observe the contraction of the MAS, with weak dependence of this value on w. Sadly, current data suggest that $\Omega_X \approx 0.7$.

Since the contraction of the MAS is currently unobservable, the best we can hope for is to observe points on our light cone that are causally connected with the turnaround point of the MAS. By making observations out to one of these points, call it P, we can verify (at least in principle) the continued presence of the dark-energy component [i.e., $\Omega_X(z)$ and w(z)]. Having successfully done this, the only obstacle to concluding that the universe is inflating is that there could exist some physics, such as a domain wall separating our region of false vacuum from one of true vacuum, located at P and which adds enough energy (with sufficiently positive pressure) at the turnaround point to spoil the contraction of the MAS, and hence inflation.

The redshift of these observable points P can be computed for a given speed with which a signal located at that point (and observed by us) moves in order to reach the turnaround of the MAS some time later. In Fig. 5, these points are labeled as P_v , where v is the speed of the signal in question (v is in units of the speed of light c).

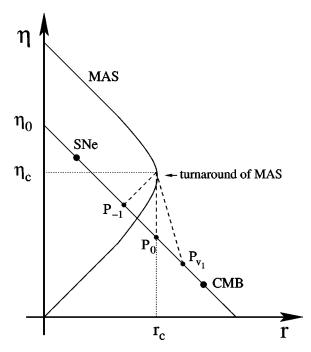


FIG. 5. Spacetime diagram showing the MAS position ($r = H_{comov}^{-1}$) as a function of conformal time η . The universe undergoes inflation at $\eta > \eta_c$. On our present light cone we observe supernovae (SNe) and the cosmic microwave background (CMB), while we presently cannot observe the turnaround point of the MAS. The best we can do is observe points on our light cone that are causally connected with the turnaround point of the MAS. These points are denoted as P_v , where v is the speed of signal at P_v required for it in order to interact with the turnaround point. Three points are shown: for v=-1 (signal moving away from us at the speed of light), v=0 (signal at rest in comoving coordinates) and $0 < v = v_1 < 1$.

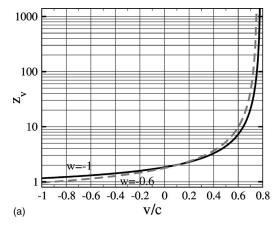
From Fig. 5, the conformal distance and time at which we see these points is given by

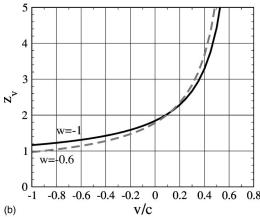
$$r_v = \frac{v(\eta_0 - \eta_c) - r_c}{v - 1} \tag{10}$$

$$\eta_v = \eta_0 - r_v \,, \tag{11}$$

where v is the velocity of the signal with respect to us, r and η are conformal distance and time respectively, and subscripts 0 and c denote today and at the contraction point of the MAS respectively. We have adopted the convention that $v=\pm 1$ denotes the signal moving directly toward (away) from us (at the speed of light). Obviously, $r(v=1)=\infty$, since if r(v=1) were finite, then the MAS would be on our light cone and we would be able to see it.

We solve Eqs. (10) and (11) numerically, and display the results in Fig. 6. The top and middle panels of Fig. 6 show the observable redshifts z_v as a function of the speed of the signal v for two different values of w. Note that z_v increases rapidly as v increases, going to infinity for $v \sim 0.8$, and is





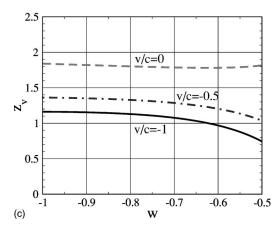


FIG. 6. Top panel: z_v computed as a function of v for w=-1 and w=-0.6. Middle panel: Same as top panel, but now shown with low- z_v region magnified. Bottom panel: z_v computed as a function of w for v=0,-0.5 and -1. All panels assume constant w.

fairly insensitive to w. The bottom panel shows z_v as a function of (constant) w for three different values of v; for example, for v = 0 and $-1 < w < -0.5, z_v \approx 1.8$.

Now, when we look at the CMB sky, we observe photons that arrive from the last scattering surface (LSS). We compute the velocity with which a signal located at the LSS would have to propagate in order for the signal to interact with the turnaround point of the MAS, and hence affect the onset of inflation in our patch of the universe. We use Eqs.

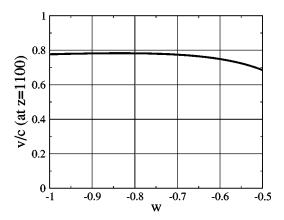


FIG. 7. The requisite velocity of a signal located at the last scattering surface ($z\approx1100$) in order for it to interact with the turnaround point of the MAS.

(10) and (11) to compute this velocity and its dependence on various cosmological parameters. Representative results are displayed in Fig. 7 in which we plot v as a function of constant w, for fixed Ω_X =0.7. Clearly, for any disturbance propagating at $v \ge 0.8c$ it is impossible to rule out that this disturbance has prevented or ended a recent inflationary period.

V. CONCLUSIONS

During the past few years there has been considerable excitement over a wide variety of data, most directly the observations of type Ia supernovae, strongly suggesting that on the scales that have been probed the rate of cosmic expansion is accelerating (or at least was at $z \approx 0.5$). If correct, this implies that the energy density of the universe is (or was) dominated by dark energy—a component with negative pressure comparable to its energy density. The inferences that have been drawn—that the entire universe is currently in the throes of a new period of inflation of indefinite, and perhaps infinite, duration—rely on common but important simplifying assumptions: that the dark energy density is homogeneous out to at least the limit of the visible universe, and that the time evolution of the dark energy density, if any, is relatively slow, governed perhaps by the classical evolution of a scalar field in some smooth, flat, effective potential.

Unfortunately, as we have found, none of these inferences or assumptions are fully testable. The period of accelerated cosmic expansion has not lasted long enough for any observations, even in principle, to confirm that the local Hubble volume is vacuum-dominated and contained in the interior of an antitrapped surface—the condition that inflation is indeed taking place. Such observations, even assuming a homogeneous cosmological constant-dominated universe, will need to wait until the energy density of the cosmic vacuum has risen to about 95% of the critical energy density from its current 70%. If the assumption of spatial homogeneity is maintained, but the assumption of a static source of dark energy density is relaxed, then we find that inflation is underway in any epoch in which w, the effective equation of

state of the dark energy over a Hubble volume, is sufficiently vacuumlike; $w < -1/(3\Omega_x)$. Investigations of spatial inhomogeneities, particularly ones that could end any ongoing inflationary expansion, require observations of relatively small effects at relatively large distances. It is possible, in principle, to look out and tell whether a slow moving ($v \le 0.8c$) disturbance will prevent our little corner of the universe from inflating. However, until $\Omega_X \approx 0.95$, we cannot be completely confident that this inflation will ultimately begin and we can never tell how long it will last, since this depends

on the future behavior of dark energy and its equation of state. The future of the universe remains uncertain.

ACKNOWLEDGMENTS

The authors would like to thank Tanmay Vachaspati for numerous helpful discussions. The work of D.H. and G.D.S. is supported by a Department of Energy grant to the particle astrophysics theory group at CWRU. The work of M.T. is supported by the National Science Foundation under grant PHY-0094122.

- [1] Supernova Search Team Collaboration, A.G. Riess *et al.*, Astron. J. **116**, 1009 (1998).
- [2] Supernova Cosmology Project Collaboration, S. Perlmutter *et al.*, Astrophys. J. **517**, 565 (1999).
- [3] S. Perlmutter, M.S. Turner, and M.J. White, Phys. Rev. Lett. **83**, 670 (1999).
- [4] L.M. Wang, R.R. Caldwell, J.P. Ostriker, and P.J. Steinhardt, Astrophys. J. 530, 17 (2000).
- [5] A.H. Guth, Phys. Rev. D 23, 347 (1981).
- [6] A.D. Linde, Phys. Lett. 108B, 389 (1982).
- [7] A. Albrecht and P.J. Steinhardt, Phys. Rev. Lett. 48, 1220 (1982).
- [8] J. Newman and M. Davis, Astrophys. J. Lett. 534, L11 (2000).
- [9] Z. Haiman, J.J. Mohr, and G.P. Holder, Astrophys. J. 553, 545 (2001).
- [10] D. Huterer, Phys. Rev. D **65**, 063001 (2002).
- [11] W. Hu, D.J. Eisenstein, M. Tegmark, and M. White, Phys. Rev. D 59, 023512 (1999).
- [12] G. Starkman, M. Trodden, and T. Vachaspati, Phys. Rev. Lett. 83, 1510 (1999).
- [13] T. Vachaspati and M. Trodden, Phys. Rev. D 61, 023502 (2000).
- [14] P.P. Avelino, J.P. de Carvalho, and C.J. Martins, Phys. Lett. B **501**, 257 (2001).

- [15] E.H. Gudmundsson and G. Björnsson, Astrophys. J. **565**, 1 (2002).
- [16] B. Ratra and P.J.E. Peebles, Phys. Rev. D 37, 3406 (1988).
- [17] R.R. Caldwell, R. Dave, and P.J. Steinhardt, Phys. Rev. Lett. 80, 1582 (1998).
- [18] C. Armendariz-Picon, V. Mukhanov, and P.J. Steinhardt, Phys. Rev. Lett. 85, 4438 (2000).
- [19] C. Armendariz-Picon, V. Mukhanov, and P.J. Steinhardt, Phys. Rev. D 63, 103510 (2001).
- [20] Boomerang Collaboration, C.B. Netterfield *et al.*, astro-ph/0104460.
- [21] C. Pryke, N.W. Halverson, E.M. Leitch, J. Kovac, J.E. Carlstrom, W.L. Holzapfel, and M. Dragovan, astro-ph/0104490.
- [22] R. Stompor et al., Astrophys. J. Lett. **561**, L7 (2001).
- [23] X. Wang, M. Tegmark, and M. Zaldarriaga, Phys. Rev. D 65, 123001 (2002).
- [24] M.S. Turner, Phys. Scr., T 85, 210 (2000).
- [25] R. Bean, S.H. Hansen, and A. Melchiorri, Phys. Rev. D 64, 103508 (2001).
- [26] D. Huterer and M.S. Turner, Phys. Rev. D 64, 123527 (2001).
- [27] J. Weller and A. Albrecht, Phys. Rev. D 65, 103512 (2002).
- [28] J. Kujat, A.M. Linn, R.J. Scherrer, and D.H. Weinberg, Astrophys. J. 572, 1 (2002).
- [29] R. Bean and A. Melchiorri, Phys. Rev. D 65, 041302(R) (2002).