

Lectures on Fault-Tolerant Quantum Computation

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- I. Descriptions of Noise and Quantum States
- II. Quantum Coding and Error-Correction
- III. Fault-Tolerant Error-Correction. Surface Codes. Some Results on Noise Thresholds.

Some Background Reading

Textbook by Michael Nielsen & Isaac Chuang
Lectures notes of John Preskill

PhD Thesis of Dan Gottesman
PhD Thesis by Ben Reichardt

Arxiv: quant-ph/0110143 by Dennis, Landahl, Kitaev, Preskill
on use of surface codes

Arxiv: quant-ph/0610063 by Aliferis, Cross on
Bacon-Shor Codes

Arxiv: 0711.1556 by Cross, DiVincenzo, Terhal with
threshold studies

The 5 DiVincenzo Criteria

1. Architecture needs to be **scalable** with well-defined qubits.
2. Ability to initialize qubits to $|00\dots 0\rangle$ state
3. Qubits should undergo **little decoherence**
4. Ability to enact a **discrete** set of logical gates. For example:
 - 2-qubit gate: CNOT (C-X) or CPHASE (C-Z)
 - 1-qubit gates: Pauli X, Z, Y, Hadamard, Phase gate, T gate
5. Ability to measure single qubits in the computational $(0,1)$ basis.

Some Quantum Formalism

Density matrices ρ :

1. $\rho = \rho^\dagger$.
2. $\rho \geq 0$, non-negative eigenvalues
3. $\text{Tr}(\rho) = 1$.

Von Neumann projective measurement:

Rank 1 projectors $\{\Pi_i\}$, $\sum_i \Pi_i = I$

$$p(i) = \text{Tr}\Pi_i\rho$$

POVM measurement:

$$\{E_i\}, E_i^\dagger = E_i \geq 0, \sum_i E_i = I.$$

$$p(i) = \text{Tr}E_i\rho$$

Superoperators

$\mathcal{S}(\rho) = \sum_i A_i \rho A_i^\dagger$, Kraus operators A_i .

$$\sum_i A_i^\dagger A_i = I.$$

-Superoperators, TCP maps, map density matrices onto density matrices.

-Can always be viewed as a unitary interacting with system and environment

-Number of Kraus operators $\{A_i\}$ at most d^2 . Kraus operators are non-unique.

- $I \otimes \mathcal{S}$ also TCP, compare with matrix transposition.

Examples

1. **Depolarizing Channel** :

$$\mathcal{S}(\rho) = (1 - p)\rho + p(X\rho X + Y\rho Y + Z\rho Z)/3$$

2. **Amplitude Damping Channel**:

$$|0\rangle \rightarrow |0\rangle$$

$|1\rangle$ decays with rate γ to $|0\rangle$.

3. **Over/Under-Rotation Channel**:

Gaussian distribution around $U = e^{i\theta Z}$

with, say, $\theta = \pi/8$.

What is a **discrete** set of Kraus operators?

Superoperator Noise Model

Each **location** in a quantum circuit is represented by its own superoperator which ideally is close to the ideal operation.

(Simplest) Noise Model considered in Fault-Tolerance Theory

In what situations is this model sufficient....

(In)Sufficiency of Superoperator Noise Picture

Cross-talk between neighboring qubits (addressing the wrong qubits with the control fields)
Couplings we cannot turn off...

Some noise is best modeled as a system interacting with a quantum environment. Correlations in time and space, **non-markovian environment**.

Some noise can be clearly approximated by **classical fluctuations of control parameters**, correlations in time and space of these parameters.

Error Rates

Error rate of a superoperator?

$$\mathcal{S}(\rho) = U\rho U^\dagger + \mathcal{E}(\rho).$$

U is ideal gate.

$\|\mathcal{E}\|$? should be $\|I \otimes \mathcal{E}\|$.

Diamond norm with useful norm properties

$$\|\mathcal{E}\|_\diamond = \max_{\|X\|_{tr}=1} \|(I \otimes \mathcal{E})(X)\|_{tr}$$

$$\|X\|_{tr} = \text{Tr} \sqrt{X^\dagger X}.$$

Error-Correction

Classical error-correction is fairly common:

Satellite communication & deep-space communication
Soft (radiation errors) in dynamical RAM in satellites
Compact discs (Reed Solomon codes) and hard discs.
Hard-wired coding of bits as ferromagnetic domains ->
2D repetition code

Noise levels of quantum operations are **high**

Quantum error-correction will be **crucial in any robust implementation** of quantum computation.

Passive EC (e.g. topological quantum computation) or **active**

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Classical Repetition Code

$|0\rangle \rightarrow |00\dots 0\rangle \equiv |\bar{0}\rangle$, n (odd) bits

$|1\rangle \rightarrow |11\dots 1\rangle \equiv |\bar{1}\rangle$

-Hamming **distance** d between codewords is n .

-Code can correct $t = (n - 1)/2$ errors
where $d = 2t + 1$ by **taking majority** of bits.

-Logical bit flip is $\bar{X} = X_1 X_2 \dots X_n$

Classical Repetition Code

Can we do error-correction without finding out whether the state is $|\bar{0}\rangle$ or $|\bar{1}\rangle$?

Yes, ‘measure’ $Z_i Z_{i+1}$ for adjacent bits and match the places where a -1 error syndrome for $Z_i Z_{i+1}$ is found.

Note: CNOT and Toffoli can be performed block-wise or transversal on encoded qubits.

Quantizing Repetition Code

First quantum code:

Shor's 9 qubit code, $[[n=9,k=1,d=3]]$.

First, preserving 1 qubit against bitflip errors (X)

-**Stabilizer** S of a code, **abelian subgroup** of the Pauli group. S is here generated by Z_1Z_2 and Z_2Z_3 .

- $k = \#$ encoded qubits $= n - (\#$ generators of S), here $3 - 2 = 1$.

Error Correction

Measure generators of stabilizer.

Syndrome determines error.

EC for this code: Single errors get corrected.

Two errors $X_1 X_2$, say, become logical (\bar{X}) errors.

How to measure a Pauli or product of Paulis....
nondestructively?

How linear combinations of errors get corrected
i.e. superoperator noise....

Phase flip errors on $\{|000\rangle, |111\rangle\}$ code:
logical Z , $\bar{Z} = Z_i$.

Stabilizer picture:

-Logical operators commute with S .

Normalizer group $N(S)$: all operators in Pauli group which **commute with S** .

Lowest weight element in $N(S)-S$ is **distance** of code.

-Detectable errors **anticommute** with some elements in S .

[[9,1,3]] Shor code

Correct for Z errors with C_{phase}

$$|0\rangle \rightarrow |+++ \rangle, |1\rangle \rightarrow |-- \rangle.$$

Use **concatenation**, encode with C_{bit}

$$|+\rangle \rightarrow (|000\rangle + |111\rangle)/\sqrt{2},$$

$$|-\rangle \rightarrow (|000\rangle - |111\rangle)/\sqrt{2}.$$

\bar{X} and \bar{Z} are weight 3 Pauli operators, $d=3$.

$2+6=8$ stabilizer generators.

Other Quantum Codes

More efficient quantum code than $[[9,1,3]]$: $[[5,1,3]]$
but this code has a **non-transversal CNOT**

Steane code $[[7,1,3]]$:

- transversal CNOT, most studied code for FT.
- is CSS code, stabilizers are X or Z-stabilizers, never mix.

Bacon-Shor Code Family....

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Bacon-Shor Code Family....

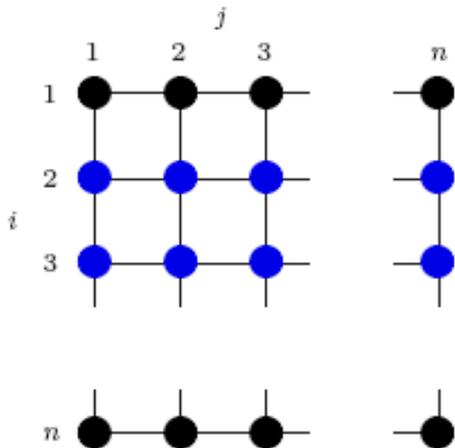
Bacon-Shor Codes

Lattice of 3 x 3 qubits (or 5 x 5 or 7 x 7 etc.)

X-stabilizers are 2 adjacent horizontal lines of Xs.

Z-stabilizers (linear combinations of $[[9,1,3]]$ stabilizers) are adjacent vertical lines of Zs.

9-4=5 encoded qubits...(in general $n^2-2(n-1)$ qubits).



Elements in $N(S)$ -S:

Horizontal $Z_j Z_{j+1}$.

Vertical $X_i X_{i+1}$.

Z along 1st vertical line

X along 1st horizontal line.

Bacon-Shor Codes

Elements in $N(S)$ - S of **weight 2, no protection against those errors...?**

Elements in $N(S)$ - S :

$Z_1 Z_2, X_2 X_5$: Logical ops. of gauge qubit 1

$Z_4 Z_5, X_5 X_8$: gauge qubit 2

$Z_2 Z_3, X_3 X_6$, gauge qubit 3

$Z_5 Z_6, X_6 X_9$, gauge qubit 4

$Z_1 Z_4 Z_7, X_7 X_8 X_9$ logical qubit!

Can correct **1 error on logical qubit.**

Bacon-Shor Codes

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$Z_1 Z_4 Z_7, X_7 X_8 X_9$ logical qubit!

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Measuring Stabilizers

Measure $Z_1 Z_2 Z_4 Z_5 Z_7 Z_8$ **row by row**, i.e. measure $Z_1 Z_2$, and $Z_4 Z_5$ and $Z_7 Z_8$.

Each of these **commutes** with S and \overline{X} and \overline{Z} of logical qubit.

Same for horizontal lines of X s.

Advantage: simple (local) circuits for measuring stabilizer!

“Symmetrized, quantized, repetition code”

Surface Code Family

Qubits **live on edges**.

Stabilizer generated by:

-plaquette operators: $ZZZZ$ around **plaquette**

-**star** operators: $XXXX$ on edges touching vertex

13 qubits - 12 stabilizers = 1 encoded qubit.

Elements in $N(S)$ - S :

$ZZ\dots Z$ from **rough** boundary to other rough boundary.

$XX\dots X$ on “dual lattice” from **smooth** boundary to other smooth boundary.

Distance of this code is 3: $[[13, 1, 3]]$ code

Surface Code Family

More general: $L \times L$ lattice of vertical links
 $L(L - 1)$ plaquettes, $L(L - 1)$ stars, so
 $L^2 + (L - 1)^2 - 2L(L - 1) = 1$ encoded qubit.

Distance is L . $[[L^2 + (L - 1)^2, 1, L]]$ code
(Bacon-Shor codes $[[n^2, 1, n]]$)

Trivial operations on code space can be made
from composing plaquette and star operators.
These are **loops** of $ZZ\dots Z$ on lattice and
loops of $XX\dots X$ on 'dual lattice'.

Errors on Surface Codes

Measure plaquette stabilizer. Note that this can be done **locally**. If outcome is -1 , put a **defect** in the plaquette.

Defects appear in pairs (except at boundary), like in classical repetition code.

Error-Correction: **match up** defects pair-wise (or with **ghost defects** at boundary), so that the length of the strings connecting defects is small.

Code can correct many errors of weight more than L !
 \Rightarrow **Topological Protection**

Passive Noise Protection

Hamiltonian, sum of stabilizer generators

$$H = - \sum_i S_i.$$

2-dimensional ground-space is surface code space.

H has a **gap**. But is there topological protection at **nonzero temperature T**?

Pairs of defects created, string can grow **without energy penalty** to become a logical error!

Topological order destroyed at $T > 0$: needed:

1. fancier Hamiltonian? (e.g. 4-dim surface code..)
2. active EC.

Noise Threshold

Encoded operation: encoded gate followed by EC.

Assume code can correct a single error.

Every location in encoded gate and error-correction has an **error probability** p .

Assume **no single error can cause two errors** on an encoded block! (idea of fault-tolerance).

$p_1 = \text{Prob}(\text{incorrectable error}) = Np^2$ where N is number of pairs of locations leading to 2 or more errors in the block.

If $p_1 < p$, coding helps, below **threshold** $p_c = 1/N$

If $p_1 > p$, coding makes things worse.

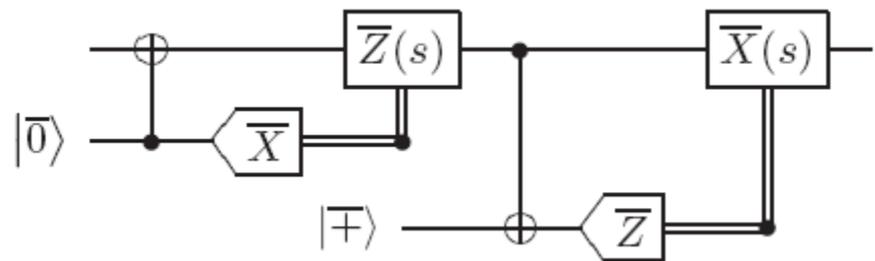
How can 1 error become 2 errors on data during EC?

Low-weight stabilizers are good!

Tricks for making EC fault-tolerant:

-Shor EC, instead of $|+\rangle$ use **cat-state**

$$|\bar{+}\rangle \propto |00\dots 0\rangle + |11\dots 1\rangle$$



-Steane EC

Copy X errors onto an ancilla prepared in logical state.

Read off X errors on ancillas.

Similar for Z errors.

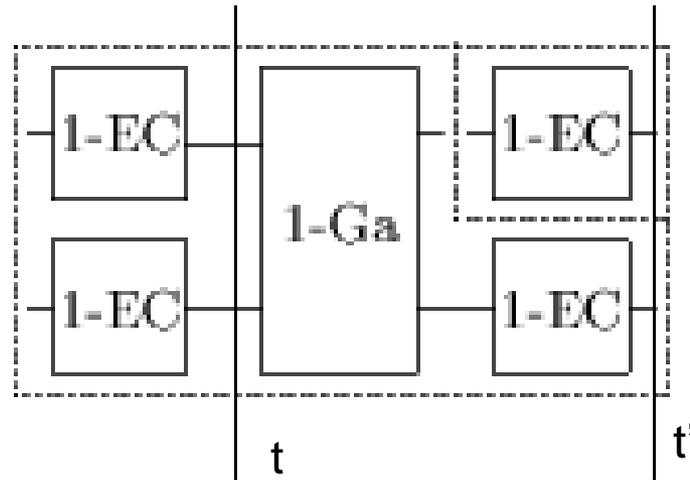
Advantage: get info for all Z stabilizers in one go.

Bad overhead of preparing verified ancilla.

Threshold Studies

PARAMETERS	NOTES
[[5, 1, 3]]	non-CSS five qubit code [24]
[[7, 1, 3]]	Steane's 7-qubit code (doubly-even dual-containing) [25]
[[9, 1, 3]], [[25, 1, 5]], [[49, 1, 7]], [[81, 1, 9]]	Bacon-Shor codes [14]
[[15, 1, 3]]	Quantum Reed-Muller code [19, 20]
[[13, 1, 3]], [[41, 1, 5]], [[85, 1, 7]]	Surface codes [17, 18]
[[21, 3, 5]]	Dual-containing polynomial code on $GF(2^3)$ [26]
[[23, 1, 7]]	Doubly-even dual-containing Golay code (cyclic) [27]
[[47, 1, 11]]	Doubly-even dual-containing quadratic-residue code (cyclic) [21]
[[49, 1, 9]]	Concatenated [[7, 1, 3]] Hamming code [22]
[[60, 4, 10]]	Dual-containing polynomial code on $GF(2^4)$ [26]
[[79, 1, 15]], [[89, 1, 17]], [[103, 1, 19]], [[127, 1, 19]]	BCH codes, not analyzed [21]

What we study



Extended-rectangle.

Here $1-Ga$ taken as transversal CNOT.

Steane EC.

Generate random X, Y, Z errors with probability p on all locations.

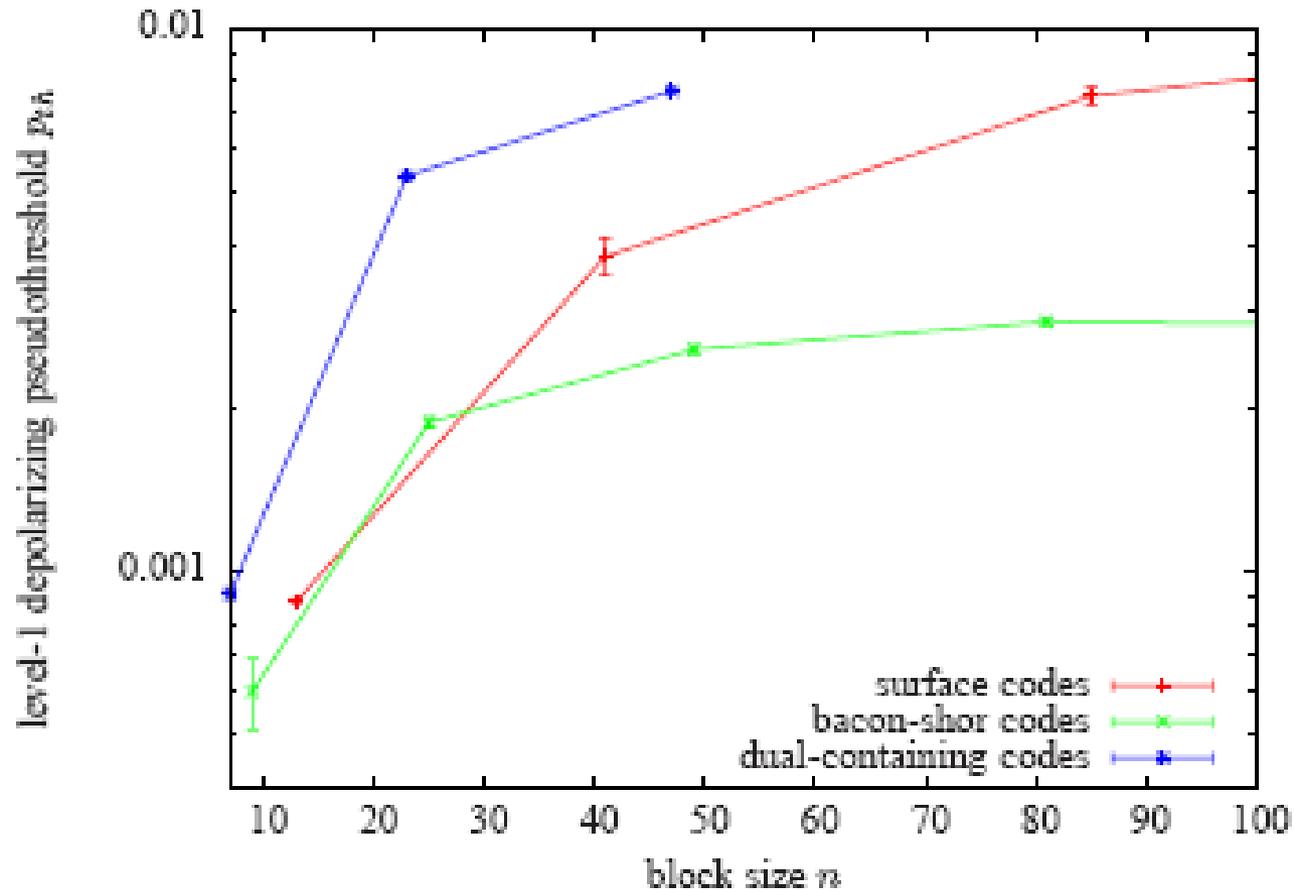
Follow errors through gates in the rectangle. If errors add up to

logical error between state at t and state at t' , call it a failure.

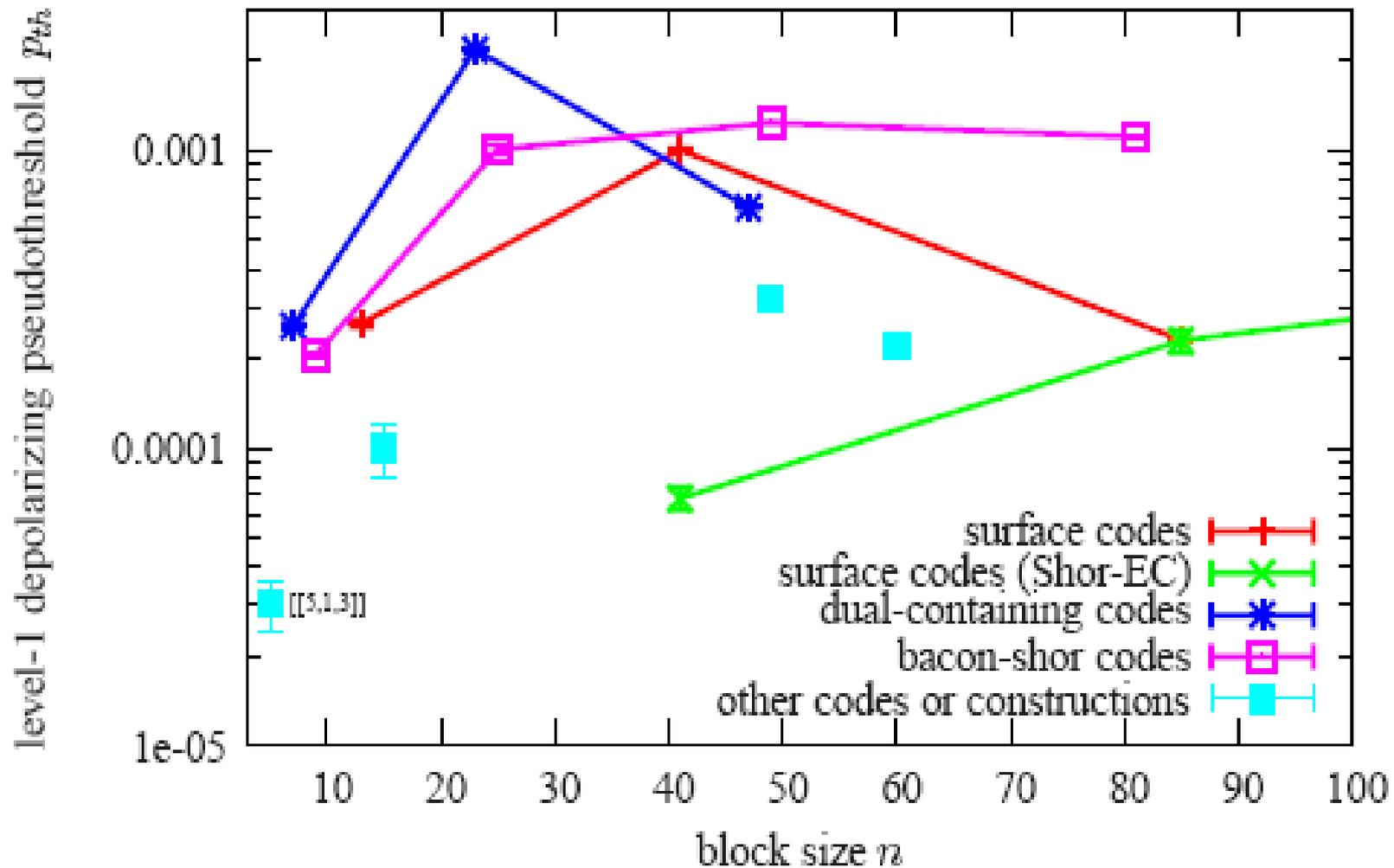
Estimate probability for failure p_1 as function of p .

Threshold: $p_1 = p$.

Perfect Ancillas for Steane EC



Thresholds



Surface Code

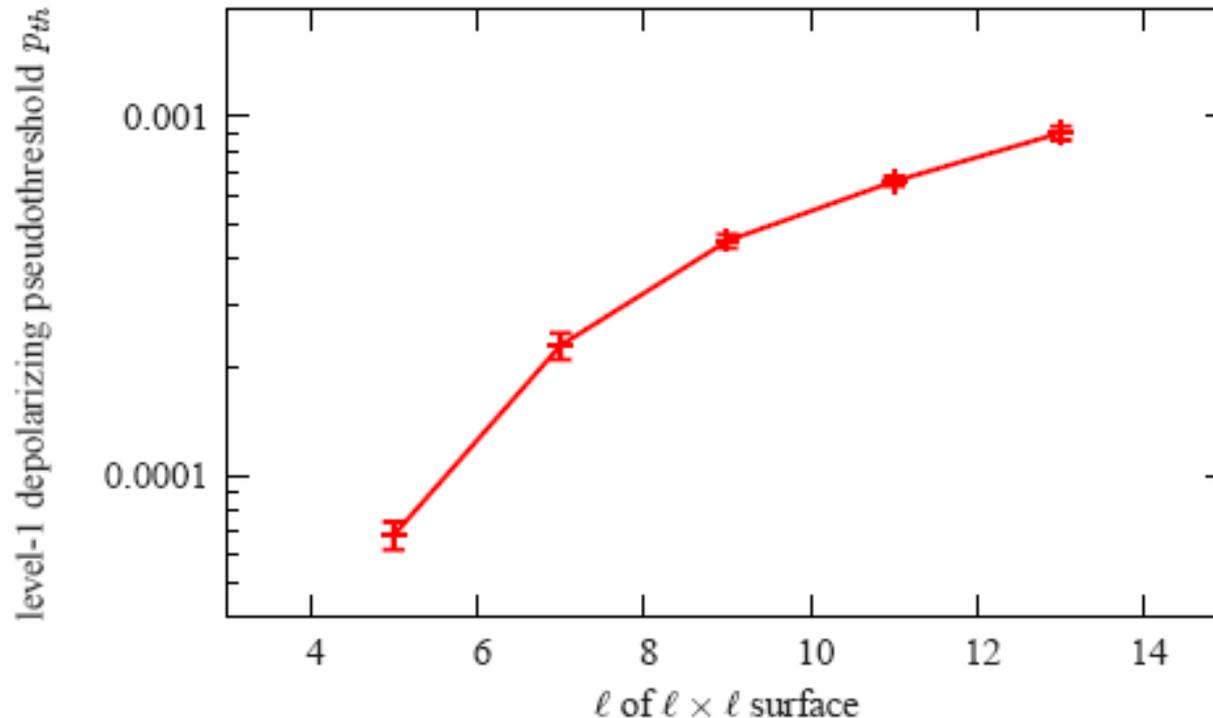


Figure 11: Surface code level-1 depolarizing pseudo-threshold versus ℓ for $\ell \times \ell$ surface code (the block-size $n = \ell^2 + (\ell - 1)^2$). The ex-Rec is a transversal CNOT gate with ℓ sequential Shor-EC steps per EC. The pseudo-threshold increases with ℓ and is expected to approach a constant value in the limit of large ℓ , unlike the other codes in this study.

We find for $L \stackrel{\Omega}{\sim} \text{clock}$, p_c goes to 3.5×10^{-3}

Overhead versus logical error-rate

