

Today: beginning of proof of Riemann-Roch;

Setup:  $C =$  smooth proj integral curve/ $k$ ,  $k = \bar{k}$   
 $K = K(C)$ .

$L =$  line bundle on  $C$

" $\mathcal{O}_C(D)$  for some  $D \in \text{Weil}(C)$ ,"

R-R:  $h^1(C, L) = h^0(C, \Omega_{C/k} \otimes L^\vee)$

(reminder:  $h^0(C, L) - h^1(C, L) = \chi(C, L) = \chi(C, \mathcal{O}_C)$ )

puts this in the more commonly used form  $\uparrow + \deg L$ )

$$h^0(C, L) - h^0(C, \Omega_{C/k} \otimes L^\vee) = d - g + 1$$

We'll actually prove the "Serre duality for curves and line bundles" version:

$$H^1(C, L) \cong_{\text{canon.}} H^0(C, \Omega_{C/k} \otimes L^\vee)^\vee$$

We want to define a perfect pairing of  $k$ -vector spaces

$$H^1(C, L) \times H^0(C, \Omega_C \otimes L^\vee) \rightarrow k.$$

3 ingredients in the proof:

- 1) explicit description of  $H^1(C, L)$  in the language of repartitions ( $\sim$  adeles)
- 2) definition of residues of differentials ( $\text{Res}_0 \frac{dz}{z} = 1$ )
- 3) The Residue Thm ( $\sum_{p \in C} \text{Res}_p \alpha = 0$ )

Ingredient 1 is purely algebraic, while 2 and 3 are easy complex analysis statements that need to be transported to algebraic geometry.

(As before: take  $L = \mathcal{O}_C(D)$  for  $D =$  finite linear comb of closed points in  $C$ .)

How can we compute  $H^1(C, \mathcal{O}_C(D))$ ?

Recall:

$$H^0(U, \mathcal{O}_C(D)) = \left\{ f \in K \mid \text{div}(f) + D \geq 0 \text{ on } U \right\} \subset K.$$

In other words, there is a morphism of  $\mathcal{O}_C$ -modules (sheaves on  $C$ )

$$\mathcal{O}_C(D) \rightarrow \underline{K}, \text{ where } \underline{K} \text{ is the constant sheaf } \underline{K}(U) = \begin{cases} K & \text{if } U \neq \emptyset \\ \mathcal{O} & \text{if } U = \emptyset. \end{cases}$$

This is injective on every affine open, so there is some s.e.s. of  $\mathcal{O}_C$  sheaves on  $C$ :

$$\mathcal{O} \rightarrow \mathcal{O}_C(D) \rightarrow \underline{K} \rightarrow \mathcal{F} \rightarrow \mathcal{O}$$

Long exact sequence:

$$0 \rightarrow H^0(C, \mathcal{O}_C(D)) \rightarrow H^0(C, \underline{K}) \rightarrow H^0(C, \mathcal{F}),$$

?''

$$\rightarrow H^1(C, \mathcal{O}_C(D)) \rightarrow H^1(C, \underline{K}) \rightarrow \dots$$

we want this

$$\parallel$$
$$0$$

So  $H^1(C, \mathcal{O}_C(D)) = \text{coker}(K \rightarrow H^0(C, \mathcal{F}))$ .

So we now just need to understand  $H^0(C, \mathcal{F})$  ( $\mathcal{F} = \text{coker}(\mathcal{O}_C(D) \rightarrow \underline{K})$ ).

How to understand  $\mathcal{F} = \text{coker}(\mathcal{O}_C(D) \rightarrow \underline{K})$ ?

The stalks of  $\mathcal{F}$  can be computed:

If  $p$  is closed:

$$\mathcal{F}_p = K_p / \mathcal{O}_C(D)_p$$

$$= K / \left\{ f \in K \mid \text{div}(f) + D \geq 0 \text{ "at } p", \right. \\ \left. \text{i.e. } v_p(f) + \text{coeff}_p D \geq 0 \right\}$$

If  $p = \eta$  is the generic point:  
 $\mathcal{F}_\eta = 0$ .

Prop:  $\mathcal{F} \cong \bigoplus_{\substack{p \in C \\ \text{closed}}} (\mathcal{L}_{p*} \mathcal{F}_p)$  for  $\mathcal{L}_p: \text{Spec } k \rightarrow C$   
 $\# \mapsto p$   
 skyscraper sheaf.

Cor:  $H^0(C, \mathcal{F}) \cong \bigoplus_{\substack{p \in C \\ \text{closed}}} \mathcal{F}_p$ .

Pf of prop:

Suppose  $s \in \mathcal{F}_p$ ,  $p$  closed.

Then  $s$  can be realized by some  $\tilde{s} \in \mathcal{F}(U)$ ,  $U \ni p$ .

But since  $(\tilde{s})_q = 0$ ,  $\tilde{s}|_{U'} = 0$  for some  $U' \subset U$ .

But then consider  $\tilde{s}|_{U''}$  for  $U'' = U' \cup \{p\}$ .

This satisfies  $(\tilde{s}|_{U''})|_{(U'' - \{p\})} = 0$ , so can glue with the 0 section on  $C \setminus \{p\}$  to get a section  $\bar{s} \in \mathcal{F}(C)$  with  $\bar{s}|_{C \setminus \{p\}} = 0$  but  $(\bar{s})_p = s$ .

So we've defined a map

$\bigoplus_{\substack{p \in C \\ \text{closed}}} (\mathcal{L}_p \mathcal{F}_p) \rightarrow \mathcal{F}$ , and it's an isomorphism since any section of  $\mathcal{F}$

vanishes on the complement of finitely many points,  $\square$

Def: Let  $T_i \subseteq S_i$  be pairs of sets indexed by some  $I$  ( $i \in I$ ),

The restricted product is

$$\prod'_{i \in I} S_i = \left\{ (x_i)_{i \in I} \in \prod_{i \in I} S_i \mid \begin{array}{l} x_i \in T_i \text{ for} \\ \text{all but finitely} \\ \text{many } i \end{array} \right\}$$

choice of  $T_i$ 's is implicit in notation

(Example: if  $S_i$ 's are abelian groups and  $T_i = 0$ ,  
 $\prod' = \oplus$ .)

Def: The ring of repartitions of  $C$  is the restricted product

$$R := \prod'_{\substack{\text{pcc} \\ \text{closed}}} K = \left\{ (f_p)_{\substack{\text{pcc} \\ \text{closed}}} \mid \begin{array}{l} f_p \in \mathcal{O}_{C,p} \subset K \text{ for} \\ \text{all but finitely many } p \end{array} \right\}$$

i.e.  $f_p$  has a pole at  $p$  for only finitely many  $p$

Notation: for  $D \in \text{Weil}(C)$ ,

$$R(D) := \left\{ (f_p) \in R \mid v_p(f_p) + \text{coeff}_p(D) \geq 0 \text{ for all } p \in C_{\text{closed}} \right\}$$

Cor:  $H^0(C, \mathcal{F}) \cong R/R(D)$ .

Prf:  $\frac{R}{R(D)} = \frac{\prod_{p \in C} (K \supseteq \mathcal{O}_{C,p})}{\prod_{p \in C} \left\{ f \in K \mid v_p(f) + \text{coeff}_p(D) \geq 0 \right\}}$

$$= \prod_{p \in C} \left( K/A_p \supset \underbrace{\mathcal{O}_{C,p} / \mathcal{O}_{C,p} \cap A_p}_{= 0 \text{ for all but finitely } p \in C} \right)$$

$$= \bigoplus_{p \in C} K/A_p \quad \square$$



Recall what we wanted was

$$H^1(C, \mathcal{O}_C(D)) \cong \text{coker}(K \rightarrow H^0(C, \mathcal{F}))$$

This map  $\xrightarrow{\hspace{10em}}$  is easy to describe -

it is induced from the diagonal inclusion

$$K \hookrightarrow R.$$

Conclusion:

$$\text{Prop: } H^1(C, \mathcal{O}_C(D)) \cong R / (R(D) + K)$$

$\underbrace{\hspace{10em}}$   
k-v.s. spanned by  
these.

Plan: Can interpret  $H^0(C, \Omega_C(-D))$  as  
a subspace of  $M = \{ \text{rational sections of } \Omega_C \}$   
("meromorphic differentials").

Consider a  $k$ -bilinear pairing

$\langle \cdot, \cdot \rangle : \underbrace{R \times M}_{K\text{-v.s.}} \rightarrow k$  defined by

$$\langle (r_p), \alpha \rangle := \sum_p \text{Res}_p (r_p \cdot \alpha).$$

has a pole at  $p$  for only finitely many  $p$ .

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Def: Suppose  $\alpha \in M$  is a meromorphic differential and  $p$  is a closed point of  $C$ . The residue of  $\alpha$  at  $p$  is defined as follows:

Choose a uniformizer  $t$  at  $p$  ( $v_p(t) = 1$ ).

Then

$$\alpha = \underbrace{\left( \sum_{i \geq -N} c_i t^i \right) dt}_{\text{for some } N > 0 \text{ and } c_i \in k} \quad (\text{since } k_p = k).$$

Take  $\text{Res}_p \alpha := c_{-1}$  (the coeff of  $\frac{dt}{t}$ ).

Prop: This def does not depend on the choice of  $t$ .

Pf: Suppose  $t = \sum_{j \geq 1} b_j u^j$  for  $b_j \in k$ . Then the invariance of the def of  $\text{Res}_p \alpha$  is equiv to:

$$c_{-1} = \left[ \left( \sum_{i \geq -N} c_i \left( \sum_{j \geq 1} b_j u^j \right)^i \right) \left( \sum_{i \geq 1} i b_i u^{i-1} \right) \right]_{-1} u^{-1}.$$

Both sides are in  $\mathbb{Z}[\{c_i\}, \{b_i\}, u^{-1}]$ , and identity holds in  $\mathbb{C}$  by complex analysis, so it holds in any field  $k$ . 